



# Provisional Monthly Patient Reported Outcome Measures (PROMs) in England – April 2009 to July 2010. Post-operative Data: Experimental Statistics Data Quality Note

The data quality note will be updated in the event of issues arising post-publication. A summary of changes made will appear in the table below. For the most recent version of this data quality note, please refer to <a href="http://www.hesonline.nhs.uk">HESOnline</a> [http://www.hesonline.nhs.uk].

| Version control |  |  |  |  |  |  |  |  |  |  |
|-----------------|--|--|--|--|--|--|--|--|--|--|
| Date            | Summary of update  |  |  |  |  |  |  |  |  |  |
| 10/12/2010      | 'Provisional Monthly Patient Reported Outcome Measures (PROMs) in England – April 2009 to July 2010. Post-operative Data: Experimental Statistics Data Quality Note' published |  |  |  |  |  |  |  |  |  |

Please note this data is provisional and subject to changes and revisions each month. It should be treated as an estimate until the final annual publication.

#### PROMs publication

Following the first publication of post-operative scores in September 2010, this is the latest monthly update from the Patient Reported Outcome Measures (PROMs) programme. This publication builds upon the published pre-operative data and considers the change in patients' self-reported health status for the four common elective surgical procedures in the PROMs programme: groin hernia surgery, hip replacement, knee replacement and varicose vein surgery. Analysis covers the period from April 2009 to the end of July 2010 and includes pre-operative questionnaires that all English providers treating NHS patients have been asked to collect from patients who wish to participate and post-operative questionnaires returned by patients following their surgery. Not all patients undergoing the relevant operations returned questionnaires for a variety of reasons.

#### **Experimental dataset**

The report is classed as experimental statistics as it contains new official statistics that are undergoing evaluation. Its aim is to provide an overall summary and presentation of the key findings based on the data available rather than to provide a detailed interpretive analysis. Detailed analysis of the data has been commissioned by the Department of Health from the London School of Hygiene and Tropical Medicine.

Publishing the post-operative PROMs questionnaire data as experimental statistics enables:

- initial findings to be presented for discussion and further investigation;
- information on data coverage and quality to be made available to encourage local action to improve these areas;
- users to comment on methodology and their needs for analysis. Statistical and casemix
  adjustments to the PROMs data, developed by contractors<sup>1</sup> on behalf of the Department of
  Health, are being applied in this publication in advance of a planned cycle of further refinement to
  the methodology.

<sup>&</sup>lt;sup>1</sup> CHKS Ltd in conjunction with Northgate Information Solutions Ltd.

#### **Data quality considerations**

A full list of data quality considerations including coverage, missing values, ambiguous values and demographics is contained within the PROMs guide, which is available to download from <a href="HESonline">HESonline</a> [www.hesonline.nhs.uk].

#### **Data completeness**

Monthly provisional data may be incomplete or contain errors which have not been investigated or adjusted yet. The number of eligible episodes and questionnaires received may change for previous months due to updated clinical coding and delayed submission of questionnaires. In general, counts from the monthly provisional data are likely to be lower than those generated for the same period in the final annual dataset. The tables in Annex 1 display the most recent counts. Over time these will be updated to show the month-on-month change.

As part of the continued verification of questionnaires, it is possible for questionnaires to be identified as ineligible. Ineligible questionnaire are those which should not have been administered. This may be because the recipient of the questionnaire is not due to have/has had a procedure, or, that they have previously completed a questionnaire. These questionnaires have therefore not been included within any counts and any responses from these questionnaires have not been included within any analysis. As such, a decrease in the number of pre-operative questionnaires may be seen in some months.

#### **POIS** audit

The number of linked episodes for months prior to September 2009 is lower than in subsequent months, as approximately 80 providers were involved from April 2009 to September 2009 in the Patient Outcomes in Surgery (POIS) audit for orthopaedic procedures. These are excluded from the figures. From September 2009, the trusts involved in the POIS audit transferred to the national PROMs programme. Further details around the POIS audit are included in the PROMs guide, which is available to download from <a href="https://example.com/hess-number-2009">https://example.com/hess-number-2009</a> in the POIS audit transferred to the national PROMs guide, which is available to download from <a href="https://example.com/hess-number-2009">https://example.com/hess-number-2009</a> in the

[http://www.hesonline.nhs.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=1295].

#### Known provider issues in HES: coverage issues

PROMs data is linked to HES inpatient data. HES inpatient data in the PROMs dataset is cumulative and includes all finalised linked episodes from 2009-10 and provisional linked episodes from 2010-11. The HES 2009-10 Month 14 Inpatient Data Quality Note noted that the following organisations have been identified as having shortfalls/missing data or specific data quality issues with their HES inpatient data. Their data should interpreted with caution.

| Organisations with HES inpatient shortfalls/missing data |                   |                 |   |  |  |  |  |  |  |  |  |
|--|-------------------|-----------------|---|--|--|--|--|--|--|--|--|
| Organisation name  | Organisation code | Months affected | Approx. total number of records missing |  |  |  |  |  |  |  |  |
| Bournemouth and Poole Teaching Hospital                  | 5QN               | Jan - Mar       | 120                                     |  |  |  |  |  |  |  |  |
| Nuffield Health  | NT2               | Apr - Mar       | 6,000                                   |  |  |  |  |  |  |  |  |
| Spire Healthcare   | NT3               | Apr - Mar       | 29,000                                  |  |  |  |  |  |  |  |  |

The HES 2010–11 Month 5 Inpatient Data Quality Note noted that the following organisations have been identified as having shortfalls/missing data or specific data quality issues with their HES inpatient data. Their data should interpreted with caution.

| Organisations with HES inpatient shortfalls/missing data |                   |                    |   |  |  |  |  |  |  |  |  |  |
|--|-------------------|--------------------|---|--|--|--|--|--|--|--|--|--|
| Organisation name  | Organisation code | Months<br>affected | Approx. total number of records missing |  |  |  |  |  |  |  |  |  |
| Avon and Wiltshire Mental Health Partnership NHS Trust   | RVN               | Jun - Jul          | 600                                     |  |  |  |  |  |  |  |  |  |
| Berkshire West PCT                                       | 5QF               | Apr                | 40                                      |  |  |  |  |  |  |  |  |  |
| Birmingham Women's NHS Foundation Trust                  | RLU               | Apr - Jul          | 5,500                                   |  |  |  |  |  |  |  |  |  |
| Bournemouth and Poole Teaching PCT                       | 5QN               | Apr - Jul          | 200                                     |  |  |  |  |  |  |  |  |  |
| Cambridgeshire and Peterborough NHS Foundation Trust     | RT1               | Jul                | 180                                     |  |  |  |  |  |  |  |  |  |
| Cambridgeshire PCT                                       | 5PP               | Apr - Jul          | 1,200                                   |  |  |  |  |  |  |  |  |  |
| Cornwall Partnership NHS Trust                           | RJ8               | Apr - Jul          | 450                                     |  |  |  |  |  |  |  |  |  |
| Eccleshill NHS Treatment Centre                          | NTA01             | Jul                | 300                                     |  |  |  |  |  |  |  |  |  |
| Greater Manchester Surgical Centre                       | NT714             | May - Jul          | 500                                     |  |  |  |  |  |  |  |  |  |
| King's College Hospital NHS Foundation Trust             | RJZ               | Jun                | 11,000                                  |  |  |  |  |  |  |  |  |  |
| New Hall Hospital  | NVC09             | Apr - Jul          | 720                                     |  |  |  |  |  |  |  |  |  |
| Northamptonshire Teaching PCT                            | 5PD               | Apri - Jul         | 600                                     |  |  |  |  |  |  |  |  |  |
| Sutton and Merton PCT                                    | 5M7               | Ma - Jul           | 240                                     |  |  |  |  |  |  |  |  |  |
| Swindon PCT  | 5K3               | Jul                | 80                                      |  |  |  |  |  |  |  |  |  |
| The Berkshire Independent Hospital                       | NVC02             | Apr - Jul          | 440                                     |  |  |  |  |  |  |  |  |  |

### Known issues in PROMs: coverage issues

Due to a number of separate operational issues the number of pre- and post-operative questionnaire included for analysis last month was reduced. These issues had the effect of reducing the participation rate for pre-operative questionnaires and return rates for post-operative questionnaires and affected approximately 5,696 pre-operative and 1,891 post-operative questionnaires. These issues have now been addressed and the corrective impact will be seen over the forthcoming months.

## **Annex A - Data completeness tables**

| Eligible H             | ES episod | les²   |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
|------------------------|-----------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Period                 | Apr-09    | May-09 | Jun-09 | Jul-09 | Aug-09 | Sep-09 | Oct-09 | Nov-09 | Dec-09 | Jan-10 | Feb-10 | Mar-10 | Apr-10 | May-10 | Jun-10 | Jul-10 |
| April 09 –<br>April 10 | 18,726    | 18,642 | 20,843 | 20,649 | 17,731 | 20,591 | 21,604 | 21,066 | 17,712 | 18,447 | 19,906 | 23,501 | 18,997 | -      | -      | -      |
| April 09 –<br>May 10   | 18,726    | 18,642 | 20,843 | 20,649 | 17,731 | 20,591 | 21,604 | 21,066 | 17,713 | 18,447 | 19,907 | 23,542 | 19,455 | 19,414 | -      | -      |
| April 09 –<br>June 10  | 18,726    | 18,642 | 20,844 | 20,649 | 17,731 | 20,591 | 21,604 | 21,066 | 17,712 | 18,447 | 19,910 | 23,531 | 19,327 | 19,441 | 21,595 | -      |
| Apr 09 –<br>Jul 10     | 18,726    | 18,644 | 20,838 | 20,646 | 17,743 | 20,758 | 21,605 | 21,068 | 17,714 | 18,448 | 19,913 | 23,616 | 19,454 | 19,580 | 21,788 | 20,684 |

| Pre-operat             | Pre-operative questionnaires |        |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
|------------------------|------------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Period                 | Apr-09                       | May-09 | Jun-09 | Jul-09 | Aug-09 | Sep-09 | Oct-09 | Nov-09 | Dec-09 | Jan-10 | Feb-10 | Mar-10 | Apr-10 | May-10 | Jun-10 | Jul-10 |
| April 09 –<br>April 10 | 5,537                        | 9,512  | 11,447 | 10,914 | 9,390  | 11,703 | 13,567 | 12,594 | 11,141 | 12,374 | 12,910 | 15,184 | 12,430 | -      | -      | -      |
| April 09 –<br>May 10   | 5,537                        | 9,512  | 11,452 | 10,919 | 9,396  | 11,696 | 13,556 | 12,585 | 11,141 | 12,386 | 12,933 | 15,266 | 12,755 | 11,707 | -      | -      |
| April 09 –<br>June 10  | 5,537                        | 9,512  | 11,457 | 10,920 | 9,398  | 11,703 | 13,558 | 12,568 | 11,138 | 12,417 | 12,961 | 15,346 | 12,938 | 12,527 | 11,782 | -      |
| Apr 09 –<br>Jul 10     | 5,538                        | 9,512  | 11,460 | 10,921 | 9,400  | 11,723 | 13,820 | 13,522 | 11,126 | 12,422 | 12,968 | 15,359 | 12,963 | 12,597 | 12,454 | 10,600 |

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<sup>&</sup>lt;sup>2</sup> These figures are based upon episode start date. The majority of data from April 2009 to March 2010 has been finalised and will not change. HES episodes ending from April 2010 onwards are currently provisional and are subject to change.

| Questionn              | Questionnaires linked to episodes <sup>3</sup> |        |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
|------------------------|--|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Period                 | Apr-09   | May-09 | Jun-09 | Jul-09 | Aug-09 | Sep-09 | Oct-09 | Nov-09 | Dec-09 | Jan-10 | Feb-10 | Mar-10 | Apr-10 | May-10 | Jun-10 | Jul-10 |
| April 09 –<br>April 10 | 4,314  | 7,418  | 8,565  | 8,164  | 6,849  | 8,589  | 9,876  | 8,904  | 8,086  | 8,739  | 8,751  | 9,281  | 4,547  | -      | -      | -      |
| April 09 –<br>May 10   | 4,314  | 7,419  | 8,566  | 8,167  | 6,852  | 8,586  | 9,922  | 8,964  | 8,094  | 8,960  | 9,253  | 10,552 | 7,972  | 4,405  | -      | -      |
| April 09 –<br>June 10  | 4,322  | 7,428  | 8,571  | 8,196  | 6,889  | 8,619  | 9,947  | 8,994  | 8,110  | 8,993  | 9,479  | 11,219 | 9,102  | 7,955  | 4,767  | -      |
| Apr 09 –<br>Jul 10     | 4,321  | 7,425  | 8,576  | 8,209  | 6,932  | 8,669  | 10,152 | 9,813  | 8,146  | 9,027  | 9,499  | 11,495 | 9,634  | 9,021  | 8,057  | 4,156  |

| Post-opera             | Post-operative questionnaires returned <sup>4</sup> |        |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
|------------------------|---|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Period                 | Apr-09  | May-09 | Jun-09 | Jul-09 | Aug-09 | Sep-09 | Oct-09 | Nov-09 | Dec-09 | Jan-10 | Feb-10 | Mar-10 | Apr-10 | May-10 | Jun-10 | Jul-10 |
| April 09 –<br>April 10 | 4,150   | 7,146  | 8,600  | 8,184  | 6,919  | 8,465  | 8,514  | 6,719  | 4,339  | 2,353  | 1,905  | 1,265  | 6      | -      | -      | -      |
| April 09 –<br>May 10   | 4,157   | 7,150  | 8,611  | 8,214  | 6,968  | 8,676  | 9,339  | 7,315  | 5,432  | 4,100  | 2,221  | 1,501  | 7      | 6      | -      | -      |
| April 09 –<br>June 10  | 4,164   | 7,155  | 8,631  | 8,256  | 7,041  | 8,911  | 10,155 | 8,807  | 6,535  | 6,321  | 5,324  | 2,054  | 11     | 9      | 12     | -      |
| Apr 09 –<br>Jul 10     | 4,169   | 7,164  | 8,737  | 8,360  | 7,149  | 9,015  | 10,552 | 9,475  | 7,638  | 7,584  | 7,165  | 5,837  | 1,188  | 35     | 24     | 26     |

<sup>&</sup>lt;sup>3</sup> These figures are based on questionnaires linked to HES episodes using an algorithm that compares a number of different combinations of patient identifiers. This methodology may be revised or enhanced for future publications. The counts are based upon the pre-operative questionnaire completion date.

<sup>&</sup>lt;sup>4</sup> The counts are based upon the pre-operative questionnaire completion date.